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File No. A33723-070050.1407

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

: Pang et al.

Serial No.

: 09/911,821

Filed

: July 24, 2001

For

: A COMPUTATIONAL METHOD FOR PREDICTING

INTRAMOLECULAR AND INTERMOLECULAR BIOPOLYMER

INTERACTIONS

PETITION TO REVIVE UNDER 37 C.F.R. §1.137(b)

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

July 22, 2004

Date of Deposit

Attorney Name

ml

Signature

35 225

PTO Registration No.

July 22, 2004

Date of Signature

BOX PETITION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the NOTICE OF ABANDONMENT UNDER 37 C.F.R. §1.53(f)

OR (g) dated June 9, 2004 (the "NOTICE"), Applicants earnestly request that the subject

application be revived because the delay in responding to the Notice to File Missing Parts of

07/27/2004 HALI11

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Nonprovisional Application dated August 9, 2001 (the "Notice to File Missing Parts"; Exhibit A) was unintentional.

In fact, prior to the due date for responding to the Notice to File Missing Parts, on September 20, 2001, a Response was filed under Certificate of Mail (the "Response"; Exhibit B). That Response included a paper entitled "Response To Notice To File Missing Parts of an Application Under 37 C.F.R. §1.53(b)," a check for \$65.00, an executed Combined Declaration and Power of Attorney, a copy of the Notice to File Missing Parts, and a return receipt postcard.

A copy of the return receipt postcard date stamped by the United States Patent and Trademark Office on September 24, 2001 (also before the due date of the Notice to File Missing Parts) is attached as Exhibit C, showing that the Response was in fact received.

Upon receiving the NOTICE dated June 9, 2004, indicating that the above-identified application was abandoned for failure to respond to the Notice of Missing Parts, Attorneys for Applicants carefully reviewed the file. It should be noted that both attorneys named on the Response, Alicia Russo and Henry Tang, are no longer employed by the firm of Baker Botts, Attorneys for Applicants.

Upon review of the file, it was discovered that the Notice to File Missing Parts included a statement indicating that the application was not in compliance with the Sequence Rules which apparently was overlooked by the persons charged with preparing the Response. That this requirement was inadvertently overlooked is indicated by, first, a letter reporting the filing of the Response to Applicants (Exhibit D) and, second, by a letter reminding Applicants of the deadline of July 24, 2002 for filing a PCT application based on the instant application

File No. <u>A33723-070050.1407</u>

(Exhibit E). Exhibit D indicates that Attorneys for Applicants believed that they had provided an impliedly complete response to the Notice to File Missing Parts. Exhibit E (dated June 25, 2002) indicates that Attorneys for Applicants regarded the application as pending, rather than having lapsed when the final deadline for filing a complete response expired on February 9, 2002.

For all the foregoing reasons, Attorneys for Applicants believe that the entire delay in responding to the Notice to File Missing Parts was UNINTENTIONAL, as the deficiencies in the Response were apparently only appreciated when Attorneys for Applicants received the NOTICE mailed June 9, 2004.

A Sequence Listing and Amendment, which completes the Response required by the Notice to File Missing Parts, is submitted herewith, together with the petition fee set forth in 37 C.F.R. §1.137(d).

Because the entire delay in response to the Notice to File Missing Parts was unintentional, and this Petition is accompanied by the required response as well as the required fee, it is earnestly requested that the above-identified patent application be revived.

Respectfully submitted

Lisa B. Kole

PTO Reg. No. 35,225

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BAKER BOTTS L.L.P.

Attorneys for Applicants

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June 25, 2002

Alicia A. Russo (212)408-2627 FAX (212)705-5020 alicia russo@bakerbotts.com

Ms. Laura Amos
Columbia Innovation Enterprise
Columbia University
PH 1535 East
630 West 168th Street
New York, New York 10032

Re:

U.S. Patent Application of Pang et al. for "A COMPUTATIONAL METHOD FOR PREDICTING INTRAMOLECULAR AND INTERMOLECULAR BIOPOLYMER INTERACTIONS"

Your File No.: 1106

Our File No.: A33723 / 070050.1407

Dear Ms. Amos:

A routine docket call-up reminds us that the period for filing a PCT application or patent applications in foreign countries in which claims for priority based on the above-referenced U.S. patent application are to be made, expires in approximately one month on <u>July</u> 24, 2002.

Please let us know by **July 12th** whether you would like to file a PCT application or any foreign patent applications for the above-referenced U.S. patent application.

Sincerely,

Alicia A. Russo

Enclosure

cc: Henry Tang, Esq.

Augustine V. Cheng. Esq. Michael J. Cleare, Ph.D.

Ofra Weinberger Wanda Velez



BAKER BOTTS LLP

30 ROCKEFELLER PLAZA

NEW YORK, NY 10112-0228

44TH FLOOR

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING OR 371(C) DATE ATTY. DOCKET NO./TITLE

09/911,821 Phillip S. Pang 07/24/2001

JUL 2 6 **200**

A33723 / 070050.1407

CONFIRMATION NO. 6323 ABANDONMENT/TERMINATION LETTER

OC000000012907017

Date Mailed: 06/09/2004

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 08/09/2001.

· No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice MUST be returned with the reply.

Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Notice of Abandonment

This application is abandoned in view of applicant's failure to timely file a proper reply to the Office notice mailed on $\frac{\partial 8/\partial 9/\partial 1}{\partial x}$.

Petition to Withdraw the Holding of Abandonment

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item(s) identified in one of the following:

- 1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
- 2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP § 512); or
- 3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be transmitted by facsimile directly to OIPE Customer Service at (703) 308-7751.

Petition to Revive an Abandoned Application

If applicant did <u>not</u> previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by:

- 1. an adequate showing of the cause of unavoidable delay;
- 2. the required reply to the above-identified notice;
- 3. the petition fee set forth in 37 CFR 1.17(i); and
- 4. a terminal disclaimer if required by 37 CFR 1.137(d).

See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by:

- 1. a statement that the entire delay was unintentional;
- 2. the required reply to the above-identified notice;
- 3. the petition fee set forth in 37 CFR 1.17(m); and
- 4. a terminal disclaimer if required by 37 CFR 1.137(d).

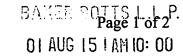
See MPEP § 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to Office of Petitions at (703) 305-9282.

Any questions regarding this notice should be directed to OIPE Customer Service at (703) 308-1202.

Customer Service Center

Initial Patent Examination Division (703) 308-1202





United States Patent and Trademark Office

TO

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

..... Washington, D.C. 2023 www.uspto.g

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/911,821

07/24/2001

Phillip S. Pang

A33723 / 070050.1407

CONFIRMATION NO. 6323

FORMALITIES LETTER

OC000000006403805

BAKER BOTTS LLP 44TH FLOOR 30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0228

Date Mailed: 08/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

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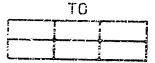
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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BAKER BOTTS L.L.P.

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In Re: Pang et al. 09/911,821
(Applicant) (Serial No.)
In Re: Response Sept. 20, 2001 A33723.070050.1407
(Title of Paper) (Date) (File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such

stamp.

Enclosed:

Response to Notice to File Missing Parts, Combined Declaration and Power of Attorney, Copy of Notice to File Missing Parts, Check for \$65

Box MISSING PARTS



TIMELY RESPONSE

CERTIFICATE OF MAILING FOR AMENDMENT/REQUESTFOR EXTENSION OF TIME APPENDED TO THE EMD OF
DOCUMENT FILED, EXECUTED BY ATTORNEY WITH
STATED FROT CLASS MAILING DATE OF 9/26/01
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ALLOWED PERIOD

INITIALS

In Re:	Pang et al.		09/911,821_
	(Applicant)		(Serial No.)
In Re:	Response	Sept. 20, 2001	A33723.070050.1407
	(Title of Paper)	(Date)	(File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

Enclosed:

Response to Notice to File Missing Parts, Combined Declaration and Power of Attorney, Copy of Notice to File Missing Parts, Check for \$65

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BAKER BOTTS L.L.P. ATTORNEYS AT LAW

ATTORNEYS AT LAW
30 Rockefeller Plaza
44th and 45th floors
New York, New York 10112-0228



INQUIRIES:(212) 705-5000 TAXPAYER I.D. #74-1195457

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ATTORNEYS AT LAW New York Operating Account CHECK #

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NOT NEGOTIABLE SIX MONTHS FROM THE DATE OF ISSUE

TWO SIGNATURES REQUIRED OVER \$2500.00

THE ORDER OF

TO

COMMISSIONER OF PATENTS AND

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